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NOTICE OF ALLOWANCE AND FEE(S) DUE

21839

7590

12/08/2006

BUCHANAN, INGERSOLL & ROONEY PC POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404 DICKEY, THOMAS L

ART UNIT PAPER NUMBER

DATE MAILED: 12/08/2006

| APPLICATION NO. | | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|-----------------|------------|-------------|----------------------|---------------------|------------------|--|
| • | 10/814,264 | 04/01/2004 | Jong Jin Park | 021269-013 | 8445 | |

TITLE OF INVENTION: PHOTOSENSITIVE SEMICONDUCTOR NANOCRYSTALS, PHOTOSENSITIVE COMPOSITION COMPRISING SEMICONDUCTOR NANOCRYSTALS AND METHOD FOR FORMING SEMICONDUCTOR NANOCRYSTAL PATTERN USING THE SAME

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$0 | \$1700 | 03/08/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further dindicated unless correcte maintenance fee notificat | correspondence including delow or directed other ions. | ng the Patent, advance on the residence of the Patent, advance of the Patent, advance of the Patent | rders and notification of and specifying a new corre | maintenance fees w spondence address; | ill be n and/or | nailed to the current (b) indicating a sepa | correspondence rate "FEE ADD | address as RESS" for |
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| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | | ATTOR | NEY DOCKET NO. | CONFIRMATIO | ON NO. |
| 10/814,264 | 04/01/2004 | | Jong Jin Park | | | 021269-013 | 8445 | |
| TITLE OF INVENTIC SEMICONDUCTOR NA | ON: PHOTOSENSITIV | VE SEMICONDUCTOR METHOD FOR FORMIN | R NANOCRYSTALS, IG SEMICONDUCTOR N | IANOCRYSTAL P. | ATTER | MPOSITION COM N USING THE SAM | PRISING E | |
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| nonprovisional | NO | \$1400 | \$300 | \$0 | | \$1700 | 03/08/2 | 007 |
| EXAMI | NER | ART UNIT | CLASS-SUBCLASS |] | | | | |
| DICKEY, T | HOMAS L | 2826 | 257-040000 | | | | | |
| ☐ "Fee Address" indi | ondence address (or Cha 1/122) attached. cation (or "Fee Address" | nge of Correspondence | 2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a single registered attorney or 2 registered patent attorney or listed, no name will be | o 3 registered paten vely, le firm (having as a agent) and the name process or agents. If | membe | er a 2 | | |
| PLEASE NOTE: Unle recordation as set forth (A) NAME OF ASSIC | ess an assignee is identi i in 37 CFR 3.11. Comp iNEE | ified below, no assignee eletion of this form is NO | THE PATENT (print or ty data will appear on the p T a substitute for filing an (B) RESIDENCE: (CIT) | atent. If an assigno assignment. (and STATE OR C | OUNT | RY) | _ | |
| Please check the appropri | ate assignee category or | categories (will not be pr | rinted on the patent): | Individual U Co | rporatio | on or other private gro | up entity \(\begin{array}{c} \boldsymbol{G} \\ \ext{G} | overnment |
| | re submitted: o small entity discount p | permitted) | o. Payment of Fee(s): (Plead of A check is enclosed. Payment by credit can be determined by the determined by credit can be determined by cre | rd. Form PTO-2038 v authorized to char | is attac | ched. | ficiency, or credi | it any nis form). |
| 5. Change in Entity Stat | • | • | | | | | D 1 05()(0) | |
| NOTE: The Issue Fee and | SMALL ENTITY statu | | b. Applicant is no lon | · | | | | er party in |
| | | | - | | | | | |
| Authorized Signature | | | | | | | | |
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| This collection of informa an application. Confident submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 223 | ation is required by 37 C iality is governed by 35 application form to the ons for reducing this but irginia 22313-1450. DC 13-1450. | FR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary right, should be sent to the NOT SEND FEES OR | on is required to obtain or 1.14. This collection is es depending upon the indiversity of the complete of the | retain a benefit by the timated to take 12 revidual case. Any coer, U.S. Patent and O THIS ADDRESS | ne publi ninutes mments Tradem . SEND | c which is to file (and to complete, includin s on the amount of tir ark Office, U.S. Depa of TO: Commissioner | by the USPTO g gathering, prepare you require to the property of Common Patents, P.O. | to process) paring, and complete nerce, P.O. Box 1450, |

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| 21839 | 7590 12/08/2006 | | EXAMINER | | | | |
| | INGERSOLL & ROO | DICKEY, THOMAS L | | | | | |
| POST OFFICE | | ART UNIT | PAPER NUMBER | | | | |
| ALEXANDRIA | , VA 22313-1404 | 2826 | | | | | |
| | | DATE MAILED: 12/08/2006 | | | | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.